Family history of diabetes and distribution of class II HLA genotypes in children with newly diagnosed type 1 diabetes: effect on diabetic ketoacidosis

Short running title: DKA, family history and HLA genes in children.

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Abstract

Objective: Our purpose was to assess whether family history of diabetes or the HLA DR-DQ genotype of the index case were associated with the frequency of diabetic ketoacidosis (DKA) at diagnosis of childhood type 1 diabetes.

Patients and methods: The study cohort comprised 1518 children aged <15 years and diagnosed with type 1 diabetes in Finland in 2002–2005. Family history of type 1 and type 2 diabetes among first-degree relatives (FDR) and grandparents was assessed at diagnosis. HLA DR-DQ genotypes were analyzed using time-resolved fluorometry.

Results: In total 12.6% and 1.7% of children had at least one FDR affected with type 1 or type 2 diabetes, respectively, and 6.6% and 34.8% had at least one grandparent with type 1 or type 2 diabetes. DKA (pH<7.30) occurred less frequently in children having a type 1 diabetes affected FDR (7.4% vs. 20.5%, \( P < 0.001 \)). Type 2 diabetes among the parents or grandparents had no such effect. Lower risk HLA genotypes were observed to predispose to DKA (\( P < 0.024 \)). In a logistic regression analysis the risk of DKA was independently associated with the absence of a family member affected by type 1 diabetes, the presence of a low risk HLA genotype and older age at diagnosis (OR 3.23, 1.45 and 1.07, respectively).

Conclusion: The presence of type 1 diabetes in a FDR is associated with a decreased risk of DKA at diagnosis. The rate of DKA seems to be higher in children with lower HLA-conferred risk for type 1 diabetes.
**Introduction**

The frequency of diabetic ketoacidosis (DKA) at diagnosis of type 1 diabetes is lower in countries with a high incidence of the disease (1). A decreasing rate of DKA at diagnosis has been observed over time (2–4), and it has been postulated that increasing medical information and awareness might have resulted in changes in the clinical presentation of type 1 diabetes in developed countries (1, 5).

Familial aggregation of type 1 diabetes is a well-established phenomenon, and lower frequency of DKA at diagnosis in children having an affected first-degree relative (FDR) has been reported (4, 6). Specific HLA class II gene alleles at the HLA-DRB1, DQA1 and DQB1 loci are strongly associated with type 1 diabetes (7) and are more frequent in patients presenting with the disease in childhood than in adulthood (6,8,9). However, little is known about the association between various HLA class II genotypes and the risk of DKA at disease onset, although this might be clinically important (10). The high risk HLA DQB1 *02/*0302 genotype has been reported to be more frequent in children diagnosed <2 years, who also more often present with DKA at diagnosis (11).

In Japan and China, specific HLA-DR-DQ genotypes have been associated with autoantibody-negative diabetes, fulminant clinical symptoms and severe DKA (12, 13).

The incidence of childhood type 1 diabetes is the highest in the world in Finland reaching 64.2 per 100,000 person-years in 2005 (14). The Finnish Paediatric Diabetes Register and Biobank was established in 2002 to collect clinical and biochemical data on children with newly diagnosed disease and their families. The purpose of this study was to investigate the effect of the family history of diabetes on the frequency of DKA at diagnosis and explore possible associations between HLA genotypes and the rate of DKA.

**Materials and methods**
The study cohort comprised children aged <15 years who had been diagnosed with type 1 diabetes in Finland between June 1, 2002 and May 31, 2005. In our country, all children developing type 1 diabetes under the age of 15 years are treated in paediatric units and such children and their families are invited to take part in the Finnish Paediatric Diabetes Register and the associated biobank. The doctors and nurses treating newly diagnosed patients have supplied the clinical and the laboratory data for the register. The register protocol has been approved by the Ethical Committee of the Hospital District of Helsinki and Uusimaa. The current analysis was approved by the local ethics committee and the Steering Committee of the register.

Information on the family history of type 1 and type 2 diabetes was obtained by interviewing the parents. First-degree relatives (FDR) included the mother, the father and the full siblings of the affected child. In addition, the history of diabetes in the grandparents was registered. Gestational diabetes, monogenic diabetes or unclassified diabetes were not included in the current analysis. Definition of type 1 diabetes was based on diagnosis at young age and/or persistent requirement of exogenous insulin from the diagnosis. DKA was defined as blood pH<7.30 and severe DKA as pH<7.10 (15).

**HLA DR-DQ** genotypes were analyzed centrally as described earlier (7). According to various **HLA DRB1-DQA1-DQB1** haplotype combinations genotypes conferring strongly increased, moderately increased, slightly increased, neutral and decreased risk for type 1 diabetes were determined (Table 3). DKA frequencies were compared between the five HLA-associated risk groups and also between two larger categories, the first including subjects with strong or moderate risk genotypes and the other subjects with genotypes conferring slightly increased, neutral or decreased disease risk.

Data analyses were performed with SPSS for Windows statistical software (version 12.0; SPSS Inc., Chicago, IL, USA). Student’s two-tailed *t* test for independent samples was used when comparing variables with normal distribution between two groups. Mann-Whitney *U* test was
applied for unequally distributed variables. Distributions were analyzed by cross-tabulation and Chi-square statistics. To assess whether the frequency of DKA was related to age, sex, HLA genotype and type 1 or type 2 diabetes in FDR, the logistic regression model was used, and the results are reported as Odds Ratios (OR) and 95% confidence intervals (CI). A two-tailed P-value of <0.05 was considered to indicate statistical significance.

Results

The study cohort included 1518 children diagnosed with type 1 diabetes below the age of 15 years (851 boys; 56.1%) and participating in the Finnish Paediatric Diabetes register. These children represented 91.7% of all children diagnosed with type 1 diabetes during the 3-year period 2002-2005 in Finland (16). The mean age at diagnosis was 7.9 years (range 0.28-14.99). At the time of diagnosis 94 children (6.2%) were <2 years and a total of 398 children (26.4%) were <5 years. Five hundred eighty-three children (38.6%) were between 5.0-9.99 years of age and 527 children (35.0%) were between 10.0-14.99 years. The overall frequency of DKA in the study cohort was 19.2% (in 280 out of 1455 children) and the frequency of severe DKA was 4.1% (in 59 out of 1455 children). One child in the series, an 8-year-old girl, died of cerebral oedema at diagnosis.

The diabetes status of the FDR was available from a total of 1384 children (91.2%), and 12.6% (n=174) had at least one FDR affected by type 1 diabetes (Table 1). In 154 (88.5 %) of these families there was only one FDR with type 1 diabetes, whereas in 20 families (11.5%) there were at least two such family members. Detailed data on family history of diabetes is presented in Table 1.

Children <2 years of age had more often a father affected with type 1 diabetes than older children (11.2% vs. 5.4%, P=0.024). In total, children <2 years had more frequently FDRs with type 1 diabetes (19.1% vs. 12.1%, P=0.055) and FDRs or grandparents affected with type 1 diabetes (31.1% vs. 19.0%, P=0.011). When comparing age groups 0-4.99 yr, 5.0-9.99 yr and 10.0-
14.99 yr, no differences were observed in the proportion of subjects with affected relatives (12.8%, 13.4% and 11.4%, respectively).

DKA occurred less frequently among children having FDRs affected with type 1 diabetes (7.4% vs. 20.5%, \( P<0.001 \)) (Table 2). Furthermore, children with affected FDRs tended to have less frequently severe DKA at diagnosis than other children (1.2% vs. 3.9%, \( P=0.082 \)). None of the 65 children having a sibling with type 1 diabetes had severe DKA at diagnosis. In subjects <2 years and an affected FDR the frequency of DKA was 18.8% compared to 30.6% in those without an affected FDR (\( P=0.344 \)). The frequency of DKA tended to be lower in children with a grandparent with type 1 diabetes (Table 2). A lower frequency of DKA was observed in children with a parent with type 2 diabetes (0% vs. 19.4%), although the result was not statistically significant (\( P=0.194 \)). Diagnosis of type 2 diabetes in the grandparents had no effect on the frequency of DKA at diagnosis of type 1 diabetes in their grandchildren (Table 2).

HLA-associated genetic risk was defined in 1064 children (70.1%) (Table 3). Boys had less often HLA genotypes conferring strong or moderate disease risk (64.9% vs. 73.2%, \( P=0.004 \)). Also the proportion of children carrying strong or moderate risk HLA genotype tended to be lower in the oldest age group (70.8% of children 0-4.99 years, 70.9% of children 5.0-9.99 years and 64.3% of children 10.0-14.99 years, \( P=0.086 \)). The distribution of HLA risk genotypes in children <2 years at diagnosis was similar when compared to older children. The proportion of children <2 years and strongly increased risk was 26.7% vs. 23% in older children (\( P=0.514 \)).

Children who had a FDR with type 1 diabetes had as often a strong or moderate risk HLA genotype as other children (67.8% vs. 68.1%, \( P=0.939 \)). When analyzing the effect of HLA risk genotypes on DKA a significant trend was observed showing that DKA and severe DKA were more frequent in subjects with lower risk HLA genotypes (Table 3). While comparing children with a HLA genotype conferring high or moderate risk for type 1 diabetes with those carrying a genotype defining a slightly increased, neutral or decreased risk, we observed that DKA was also more often present in
the latter group (17.4% vs. 23.0%, \(P=0.032\)). In a similar analysis including children <2 years DKA frequency was not significantly different between the two HLA class II genotype groups (26.8% vs. 44.4%, respectively; \(P=0.182\)). The logistic regression model was applied to explain DKA including age at diagnosis as a continuous variable and several categorized variables (sex, HLA \(DQB1\) risk groups (2 categories), presence of type 1 diabetes in FDR and presence of type 2 diabetes in FDR). Significant associations were seen between DKA and absence of type 1 diabetes in FDR (\(P=0.001, \text{OR}=3.23\) (95% CI 1.60-6.52)), low risk \(HLA\ DQB1\) genotype (\(P=0.029, \text{OR}=1.45\) (95% CI 1.04-2.03)) and older age at diagnosis (\(P=0.02, \text{OR}=1.07\) (95% CI 1.03-1.12)).

**Discussion**

This population-based analysis of children diagnosed with type 1 diabetes <15 years confirms that the presence of type 1 diabetes in a FDR protects the child from DKA at diagnosis. Interestingly, we also found that children carrying high or moderate risk HLA \(DQB1\) genotypes presented less often with DKA in Finland.

Frequency of DKA was very low (7.4%) at diagnosis of type 1 diabetes in children who had at least one FDR affected by type 1 diabetes, while in the other children, the frequency of DKA was almost three times higher (20.5%). Similar observations were made in earlier studies (4, 6). A reduced frequency of DKA at diagnosis in children with affected FDRs is understandable, because these families usually know the symptoms of the disease, have equipment for self-monitoring of blood glucose and are aware of the necessity to contact a doctor without any delay to start treatment. Type 2 diabetes in FDR of children with type 1 diabetes occurs rarely, but especially type 2 diabetes among the mothers may protect against DKA in their offspring. The history of type 1 diabetes in grandparents had only a small non-significant effect on the risk for DKA in newly diagnosed children. Neither did the presence of type 2 diabetes in grandparents have any effect on the frequency of DKA in the grandchildren.
Children with HLA genotypes conferring strong or moderate risk for type 1 diabetes had lower frequency of DKA and severe DKA than subjects with other HLA class II genotypes, an observation not previously reported and the reasons remaining so far unknown. The awareness of type 1 diabetes and its symptoms might have increased recently in the Finnish population because of the ongoing prospective DIPP-study (The Type 1 Diabetes Prediction and Prevention project). The DIPP study was initiated in the mid-1990s in three university hospitals in Finland screening all newborn infants for HLA-conferred susceptibility to type 1 diabetes and monitoring from birth those subjects who are identified to carry HLA genotypes conferring high or moderate diseases risk (17). The families, who take part in the DIPP study, have been more informed about the symptoms of type 1 diabetes. In addition, diabetes-associated autoantibodies are analyzed regularly at an interval of 3-12 months. As a consequence the diagnosis of type 1 diabetes is usually made at an early stage, thus probably decreasing the risk for DKA among these children. If the DIPP study had a significant role in attenuating DKA at onset, the observed associations between HLA genotypes and DKA at diagnosis would reflect the special situation in Finland and it would be difficult to export these results to other populations. However, children who have participated in the DIPP follow-up during the prediabetic period comprise only a small minority (less than 5%) of all newly diagnosed cases <15 years in Finland during the time-period analyzed, and therefore it is unlikely that the DIPP study alone would explain the association between HLA class II genotypes and DKA.

The prevalence of type 1 diabetes among siblings (4.9%) was higher in the current study than in the earlier Childhood Diabetes in Finland (DiMe) study (2.6%; \(P=0.014\)) (18), likely reflecting the steep increase in the incidence of type 1 diabetes among Finnish children. Earlier data on the frequencies of type 1 and type 2 diabetes among grandparents is scarce. In the current series the proportion of children having a grandparent with type 1 diabetes was 6.6%, a figure not previously reported from Finland.
Children <2 years of age at diagnosis had more often an affected FDR, particularly a father with type 1 diabetes, than older children. However, these children did not carry more often high-risk HLA genotypes. This result differs from earlier Finnish studies, in which children diagnosed before the age of 2 years carried the \textit{HLA DQB1*0201/*0302} more frequently than older children (11). The possible explanation could be the temporal change observed in the distribution of HLA genotypes in newly diagnosed children showing that the frequency of high-risk HLA genotypes has decreased among recent cohorts of children diagnosed with type 1 diabetes (19). In children <2 years DKA was neither associated with class II HLA genotypes nor the presence of an affected FDR. These effects could have been significant with greater sample size, however.

In conclusion, the risk of DKA in children at the diagnosis of type 1 diabetes was independently increased by the absence of an affected family member, the presence of a low-risk HLA class II genotype and older age of the index case.

\textbf{Declaration of interest}

The authors declare that there is no conflict of interest that can be perceived as prejudicing the impartiality of the research reported.

\textbf{Funding}

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\textbf{Acknowledgments}
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References


**Table 1** Proportion of newly-diagnosed children (<15 years) with a family history of diabetes.

<table>
<thead>
<tr>
<th>Family member with diabetes</th>
<th>Type of diabetes in the given family member(s)</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Type 1 diabetes</td>
<td>Type 2 diabetes</td>
<td>Type 1 or type 2 diabetes</td>
</tr>
<tr>
<td>------------------------------</td>
<td>-----------------</td>
<td>-----------------</td>
<td>---------------------------</td>
</tr>
<tr>
<td>Father</td>
<td>5.8 % (81/1396)</td>
<td>1.1% (16/1396)</td>
<td>6.9 % (97/1396)</td>
</tr>
<tr>
<td>Mother</td>
<td>2.8 % (40/1414)</td>
<td>0.6 % (8/1414)</td>
<td>3.4 % (48/1414)</td>
</tr>
<tr>
<td>Sibling</td>
<td>4.9 % (69/1418)</td>
<td></td>
<td>4.9 % (69/1418)</td>
</tr>
<tr>
<td>Multiple siblings</td>
<td>0.4 % (6/1418)</td>
<td></td>
<td>0.4 % (6/1418)</td>
</tr>
<tr>
<td>FDR</td>
<td>12.6 % (174/1384)</td>
<td>1.7 % (24/1384)</td>
<td>14.1 % (195/1386)</td>
</tr>
<tr>
<td>Paternal grandfather</td>
<td>1.5 % (19/1249)</td>
<td>10.7 % (134/1249)</td>
<td>12.2 % (153/1249)</td>
</tr>
<tr>
<td>Paternal grandmother</td>
<td>1.0 % (13/1285)</td>
<td>8.8 % (113/1285)</td>
<td>9.8 % (126/1285)</td>
</tr>
<tr>
<td>Maternal grandfather</td>
<td>2.4 % (31/1296)</td>
<td>11.8 % (153/1296)</td>
<td>14.2 % (184/1296)</td>
</tr>
<tr>
<td>Maternal grandmother</td>
<td>1.0 % (14/1331)</td>
<td>8.2 % (109/1331)</td>
<td>9.2 % (123/1331)</td>
</tr>
<tr>
<td>Any grandparent</td>
<td>6.6 % (76/1159)</td>
<td>34.8 % (429/1232)</td>
<td>39.3 % (488/1242)</td>
</tr>
<tr>
<td>FDR and/or grandparents</td>
<td>19.7 % (233**/1182)</td>
<td>36.0 % (442***/1229)</td>
<td>48.3 % (609*/1262)</td>
</tr>
</tbody>
</table>
Table 2 Frequency of diabetic ketoacidosis (DKA) defined as pH<7.30 in children at diagnosis of type 1 diabetes. Data given separately for subjects with only a family member with type 1 diabetes (type 1 diabetes +, type 2 diabetes –), only type 2 diabetes (type 1 diabetes –, type 2 diabetes +) or no diabetes (type 1 diabetes –, type 2 diabetes–). FDR, first degree relative.

<table>
<thead>
<tr>
<th>Family member with diabetes</th>
<th>type 1 diabetes +, type 2 diabetes –</th>
<th>type 1 diabetes –, type 2 diabetes +</th>
<th>type 1 diabetes –, type 2 diabetes –</th>
<th>P-value#</th>
<th>P-value##</th>
</tr>
</thead>
<tbody>
<tr>
<td>Father</td>
<td>7.6 (1.8-13.4)</td>
<td>12.5(0-39.0)</td>
<td>19.7 (17.5-21.2)</td>
<td>0.008</td>
<td>0.473</td>
</tr>
<tr>
<td>Mother</td>
<td>5.0 (0-11.8)</td>
<td>0</td>
<td>19.4 (7.3-21.5)</td>
<td>0.022</td>
<td>0.194</td>
</tr>
<tr>
<td>Sibling</td>
<td>7.7 (1.2-14.2)</td>
<td>-</td>
<td>19.5 (17.4-21.6)</td>
<td>0.018</td>
<td>-</td>
</tr>
<tr>
<td>Any FDR</td>
<td>7.4 (3.4-11.4)</td>
<td>11.1(0-25.6)</td>
<td>20.5 (18.2-22.8)</td>
<td>&lt;0.001</td>
<td>0.328</td>
</tr>
<tr>
<td>Paternal grandfather</td>
<td>16.7 (0-33.4)</td>
<td>18.9 (10.8-27.0)</td>
<td>19.6 (17.3-22.1)</td>
<td>0.754</td>
<td>0.861</td>
</tr>
<tr>
<td>Paternal grandmother</td>
<td>0</td>
<td>19.1 (15.6-26.4)</td>
<td>19.6 (17.3-21.9)</td>
<td>0.075</td>
<td>0.892</td>
</tr>
<tr>
<td>Maternal grandfather</td>
<td>7.4 (0-17.3)</td>
<td>19.5 (13.5-25.8)</td>
<td>19.1 (16.8-21.4)</td>
<td>0.124</td>
<td>0.925</td>
</tr>
<tr>
<td>Maternal grandmother</td>
<td>21.4 (0-42.9)</td>
<td>20.6 (12.9-28.3)</td>
<td>19.0 (16.8-21.2)</td>
<td>0.814</td>
<td>0.690</td>
</tr>
<tr>
<td>Any grandparent</td>
<td>11.3 (3.9-18.7)</td>
<td>18.1 (13.9-22.3)</td>
<td>20.5 (17.6-22.3)</td>
<td>0.076</td>
<td>0.365</td>
</tr>
<tr>
<td>Any FDR or grandparent</td>
<td>7.6 (3.1-12.1)</td>
<td>19.0 (14.5-23.5)</td>
<td>22.2 (19.0-25.4)</td>
<td>&lt;0.001</td>
<td>0.281</td>
</tr>
</tbody>
</table>

# A comparison between children with a given relative with type 1 diabetes (type 1 diabetes +, type 2 diabetes –) and children without a relative with diabetes (type 1 diabetes –, type 2 diabetes –)

## A comparison between children with a given relative with type 2 diabetes (type 1 diabetes –, type 2 diabetes +) and children without a relative with diabetes (type 1 diabetes –, type 2 diabetes –).
Table 3 The frequencies (%) of HLA risk categories and frequencies of DKA and severe DKA in different risk categories. Linear-by-Linear association analysis was used to compare DKA frequencies between various risk groups.

<table>
<thead>
<tr>
<th>HLA-associated risk groups, N=1064, frequencies%</th>
<th>DKA (%)</th>
<th>Severe DKA (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Strongly increased risk*, 23.2%</td>
<td>16.5</td>
<td>1.7</td>
</tr>
<tr>
<td>Moderately increased risk**, 45.2%</td>
<td>17.9</td>
<td>3.6</td>
</tr>
<tr>
<td>Slightly increased risk***, 12.8%</td>
<td>21.6</td>
<td>6.0</td>
</tr>
<tr>
<td>Neutral risk****, 15.2%</td>
<td>23.3</td>
<td>6.3</td>
</tr>
<tr>
<td>Decreased risk******, 3.6%</td>
<td>27.0</td>
<td>2.7</td>
</tr>
<tr>
<td>**P-value</td>
<td>0.024</td>
<td>0.031</td>
</tr>
</tbody>
</table>

*Heterozygosity for the two risk associated haplotypes DRB1*0401/2/4/5/8-DQA1*03-DQB1*0302/4 and [DRB1*03]-DQA1*05-DQB1*02

**Above risk haplotypes as homozygous or DRB1*0401/2/4/5/8-DQA1*03-DQB1*0302/4 combined with a neutral haplotype, or the [DRB1*03]-DQA1*05-DQB1*02/[DRB1*09]-DQA1*03-DQB1*03 genotype

***[DRB1*03]-DQA1*05-DQB1*02 with a neutral haplotype or the DRB1*0401/2/5/8-DQA1*03-DQB1*0302/4/[DRB1*1301]-[DQA1*01]-DQB1*0603 genotype

****Genotypes where a risk haplotype is combined with a protective one (DRB1*15-[DQA1*01]-DQB1*0602, [DRB1*11/12/13]-DQA1*05-DQB1*0301, [DRB1*14]-[DQA1*01]-DQB1*0503, DRB1*07-DQA1*0201-DQB1*0303, DRB1*0403-[DQA1*03]-DQB1*0302/4 and [DRB1*1301]-[DQA1*01]-DQB1*0603 (except the combination in c)) or combinations of two neutral haplotypes

*****Combinations of two protective haplotypes or a protective haplotype associated with a neutral one

Alleles in parenthesis have not been analyzed but can be deduced based on allelic associations in these haplotypes.